

02 125/02

RECEIVED

A

02/20/02
JC928 U.S. PTO

Attorney Docket P3235P1C1
PATENT

jc928 U.S. PTO
10/081056
02/20/02

EL 599 583 158 US: Express Mail Number

February 20, 2002: Date of Deposit

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

BOX PATENT APPLICATION
Assistant Commissioner of Patents
Washington, D.C. 20231

NON-PROVISIONAL APPLICATION TRANSMITTAL UNDER 37 CFR 1.53(b)

Transmitted herewith for filing is a non-provisional patent application:

Inventor(s) (or Application "Identifier"):

Kevin P. Baker,
Napoleone Ferrara,
Hanspeter Gerber,
Mary E. Gerritsen,
Audrey Goddard,
Paul J. Godowski,
Austin L. Gurney,
Kenneth J. Hillan,
Scot A. Marsters,
James Pan,
Nicholas F. Paoni,
Jean-Philippe F. Stephan,
Colin K. Watanabe,
P. Mickey Williams,
William I. Wood, and
Weilan Ye

Title: **COMPOSITIONS AND METHODS FOR THE DIAGNOSIS AND TREATMENT OF DISORDERS INVOLVING ANGIOGENESIS**

1. Type of Application

- ☐ This application is for an original, non-provisional application.
- ☐ This is a non-provisional application claiming priority to provisional application no. __, filed __, the entire disclosure of which is hereby incorporated by reference.
- ☒ This is a ☐ continuation-in-part ☒ continuation ☐ divisional application claiming priority to International Application numbers PCT/US01/21735, filed July 9, 2001, PCT/US00/20710, filed July 28, 2000, PCT/US00/23522, filed August 23, 2000, PCT/US00/23328, filed August 24, 2000, PCT/US00/30952, filed November 8, 2000, PCT/US00/30873, filed November 10, 2000, PCT/US00/32678, filed December 1, 2000, PCT/US00/34956, filed December 20, 2000, PCT/US01/06520, filed February

(for cont./div.)
The prior application is assigned of record to Genentech, Inc.

P3235P1C1

Page 3 of 4

5. Amendments (for continuation and divisional applications)

- _____ Cancel in this application original claims ___ of the prior application before calculating the filing fee. (At least one original independent claim must be retained for filing purposes.)
- _____ A preliminary amendment is enclosed. (Claims added by this amendment have been properly numbered consecutively beginning with the number next following the highest numbered original claim in the prior application.)

6. Fee Calculation (37 CFR 1.16)

The fee has been calculated as follows:

CLAIMS FOR FEE CALCULATION					
Number Filed		Number Extra		Rate	Basic Fee 37 CFR 1.16(a)
					\$740.00
Total Claims	43	- 20 =	23	X \$18.00	\$414.00
Independent Claims	19	- 3 =	16	X \$84.00	\$1,344.00
_____ Multiple dependent claim(s), if any				+ \$280.00	\$0.00
Filing Fee Calculation					\$2,498.00

7. Method of Payment of Fees

The Commissioner is hereby authorized to charge Deposit Account No. 07-0630 in the amount of \$2,498.00. **A duplicate copy of this transmittal is enclosed.**

8. Authorization to Charge Additional Fees

The Commissioner is hereby authorized to charge any additional fees required under 37 CFR §1.16 and 1.17, or credit overpayment to Deposit Account No. 07-0630. **A duplicate copy of this sheet is enclosed.**

9. Additional Papers Enclosed

- ☐ Information Disclosure Statement (37 CFR §1.98) w/ PTO-1449 and citations
- ☒ Submission of "Sequence Listing", computer readable copy, certificate re: sequence listing, and/or amendment pertaining thereto for biological invention containing nucleotide and/or amino acid sequence.
- ☐ A new Power of Attorney or authorization of agent.
- ☐ Other:

P3235P1C1

Page 4 of 4

10. Maintenance of Copendency of Prior Application (for continuation and divisional applications)

*[This item **must** be completed and the necessary papers filed in the prior application if the period set in the prior application has run]*

- _____ A petition, fee and/or response has been filed to extend the term in the pending prior application until
- _____ A copy of the petition for extension of time in the **prior** application is attached.


11. Correspondence Address:

X Address all future communications to:

Attn: Atulya R. Agarwal, Ph.D.

Respectfully submitted,
GENENTECH, INC.

Date: February 20, 2002

By: 
Atulya R. Agarwal, Ph.D.
Reg. No. 40,887
Telephone No. (650) 225-4463

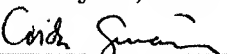


09157

PATENT TRADEMARK OFFICE

Patent Docket P3235P1C1

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of Kevin P. Baker et al. Serial No.: TO BE ASSIGNED Filed: FEBRUARY 20, 2002 For: COMPOSITIONS AND METHODS FOR THE DIAGNOSIS AND TREATMENT OF DISORDERS INVOLVING ANGIOGENESIS	Group Art Unit: NOT KNOWN Examiner: NOT KNOWN CERTIFICATE OF MAILING I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Assistant Commissioner of Patents, Washington, D.C. 20231 on February 20, 2002  _____ Aida Guiam
---	--

CERTIFICATE RE: SEQUENCE LISTING

BOX SEQUENCES

Assistant Commissioner of Patents
Washington, D.C. 20231

Sir:

I hereby state that the Sequence Listing submitted herewith is submitted in paper copy and a computer-readable diskette, and that the information recorded in computer readable form is identical to the written sequence listing. I further state that this submission includes no new matter.

Respectfully submitted,
GENENTECH, INC.

Date: February 20, 2002

By: Atulya R. Agarwal
Atulya R. Agarwal, Ph.D.
Reg. No. 40,887
Telephone No. (650) 225-4463



09157

PATENT TRADEMARK OFFICE